

Subxiphoid single-port video-assisted thoracoscopic surgery

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Background: We report the feasibility and safety of chest surgery through the subxiphoid single port approach based on our preliminary experience.

Methods: From December 2013 till January 2016, 39 patients underwent 40 thoracoscopic surgeries via a 3- to 4-cm subxiphoid single incision. A sternal lifter was applied for better entrance and working angle. A zero-degree deflectable scope was preferred. The technique for anatomic resection was similar to that in the traditional single-port approach. Patient characteristics and demographic data were analyzed.

Results: There were 29 females and 10 males, with a median age of 56 years. Indication for surgery included 24 patients with primary lung cancer, eight with lung metastases, two with benign lung lesions, one with bilateral pneumothorax, and five with mediastinal tumors. Surgeries included lobectomy in 21, segmentectomy in five, wedge resection in nine, and mediastinal surgery in five patients. There was no surgical mortality. Complications (10%, 4 in 40) included postoperative bleeding in one patient, chylothorax in one patient, and transient arrhythmia in the early learning curve in two patients.

Conclusions: Our results indicated that subxiphoid single-incision thoracoscopic pulmonary resection could be performed safely but under careful patient selection with modification of instruments. Moreover, having a previous single-port incision experience was crucial. Major limitations of this approach included more frequently encountered instrument fighting; interference of left-side procedure related to heartbeat and radical mediastinal lymph node (LN) dissection; and the ability to handle complex conditions, such as anthracotic LNs, diffuse adhesion, and major bleeding.

Keywords: Subxiphoid; single-port; thoracoscopic; lobectomy; segmentectomy

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Introduction

Thoracoscopic surgery is increasingly performed because of improvements in endoscopic instruments and surgical techniques. Growing evidence indicates that thoracoscopic surgery is associated with decreased postoperative pain, reduced length of hospital stay, and fewer postoperative complications (1-6). However, traditional transthoracic video-assisted thoracic surgery (VATS) always involve the

creation of a small incision on the chest wall and may result in intercostal neuralgia and chronic thoracotomy pain (7-9). We believe that the approach or incision in thoracic surgery still has the potential for continuous improvements. Therefore, we investigated an alternative approach for thoracic intervention.

Subxiphoid incision is employed in many transthoracic procedures for both thoracic and cardiovascular surgeries.

These procedures include subxiphoid pericardial window creation, coronary surgery, thymectomy, and pulmonary metastasectomy (10-14). We have used animal models to determine alternative approaches such as natural orifice transluminal surgery through transoral, transtracheal (15-18), and transumbilical routes (19). However, most of these procedures are currently not feasible to be performed in humans.

With previous experience on transthoracic single-port VATS surgery since 2010 (20,21), despite trauma outside on the chest has been minimized to only one. However, we observed that the single-port approach did not significantly reduce postoperative pain as compared with a two-port approach (22). Moreover, this surgery still leaves a wound in the chest near the breast, which is not idealistic especially for young females with cosmetic concern. Therefore, we switched to a subxiphoid single-port technique for lung resection and described the first case report of subxiphoid single-incision thoracoscopic left upper lobe (LUL) lobectomy (23). However, this novel technique is quite challenging and has some limitations. Appropriate patient selection and modification of instruments are necessary to perform a safe and sound surgery. Here, we report our preliminary experience with the subxiphoid single-incision approach for thoracoscopic chest surgery.

Materials and methods

This study retrospectively analyzed 39 consecutive patients who underwent subxiphoid single-incision thoracoscopic surgery at the Koo Foundation Sun Yat-Sen Cancer Center between December 2013 and January 2016. Inclusion criteria for patients were (I) confirmed early lung cancer without obvious mediastinal lymph node (LN) metastasis according to preoperative image staging, (II) metastatic lung cancer, (III) mediastinal disease, (IV) and unconfirmed lung nodule or benign lung lesion that deserved surgery. Exclusion criteria were similar to those for the traditional transthoracic approach. Moreover, patients with clinical stage I disease that is suitable for technique-demanding atypical segmentectomy, e.g., lower lobe posterior basal segmentectomy, were suggested to undergo transthoracic sublobar resection instead of subxiphoid lobectomy to preserve more lung parenchyma. All the patients were carefully explained about the benefits and drawbacks of the subxiphoid approach versus the transthoracic approach and were asked to sign an informed consent for subxiphoid surgery. Preoperative workup included complete

blood counts, serum biochemistry tests, chest computed tomography (CT) scans, and positron emission tomography computed tomography (PET-CT) scans. Information on demographic characteristics of patients, operating time, number of dissected LNs, length of hospital stay, postoperative complications, and pathological characteristics of tumors according to the American Joint Committee on Cancer staging system (7th edition) was collected. This study was approved by the institutional ethical committee in Sun Yat-Sen Cancer Center Hospital, and we obtained the informed consent from every patient before surgery was performed.

Operative setting and surgical technique

The patients were orotracheally intubated with a double-lumen endotracheal tube to provide adequate one lung ventilation. Positioning of the patients was based on the location of the lesion and the procedure to be performed. Supine position was the standard position for mediastinal surgery, such as thymomectomy, or bilateral surgery for bilateral pneumothorax or pulmonary metastases. Semi-decubitus position was adopted in the early few cases; however, we now prefer true lateral-decubitus position as the standard position for anatomic lung resection. Artificial CO₂ pneumothorax was applied to none of the patients in the whole series.

Creation of the subxiphoid single port

A 3- to 4-cm vertical skin incision was made above the xiphoid process, after cutting the skin and the linea alba, and the muscle was detached to reach the xiphoid process. A blunt dissection was performed using a finger to create a subxiphoid route similar to a retrosternal route used for gastric tube pull-up after esophagectomy. A deep thyroid retractor was then applied to lift the sternum. By holding a sucker in the left hand, and an endoscopic hook cautery in the right hand of the operator, a thoracoscope was inserted into the substernal tunnel created previously by finger dissection. Under thoracoscopic guidance, pericardial fat was detached from the pleura and pericardium and the thoracoscope was inserted into the pleural cavity after hook cauterization on the pleura under direct observation (*Figure 1A,B*). A homemade trauma-less wound protector (trocar set) was designed for the subxiphoid port. By partially inserting an extra small size Alexis Wound Retractor (Applied Medical, USA) into a 12-mm laparoscopic trocar (Endopath Xcel Bladeless Trocars;

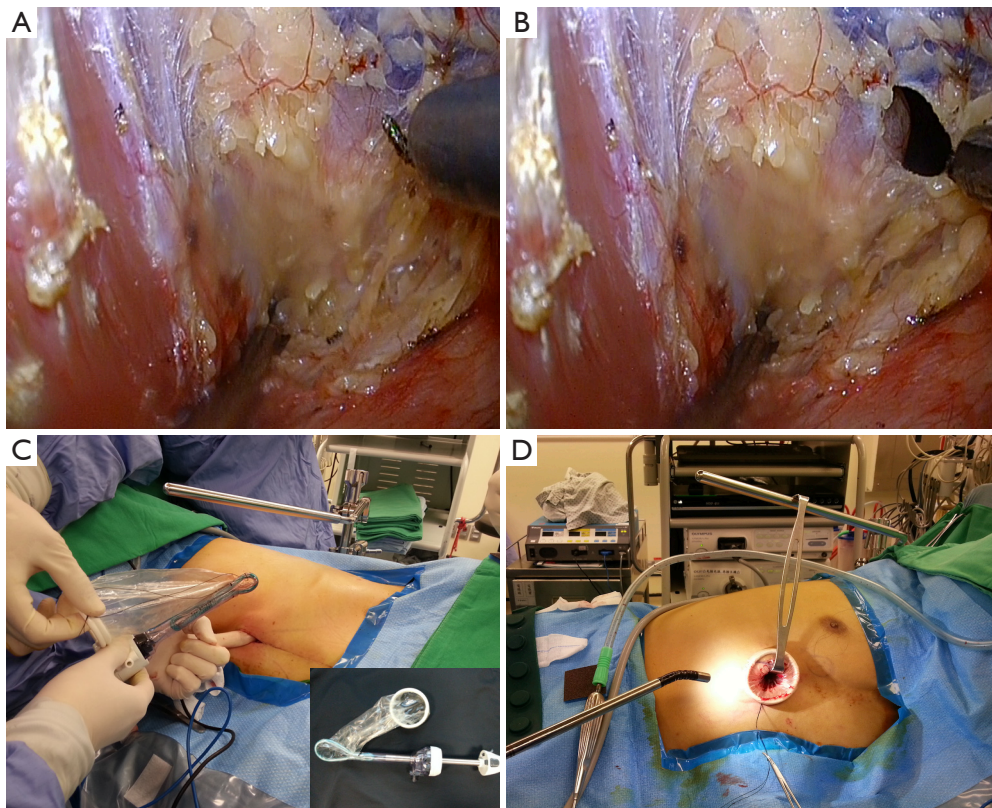


Figure 1 Novel method for creation of subxiphoid single port. (A,B) Under thoracoscopic guidance, pleura cavity was entered with laparoscopic hook cautery; (C) an Alexis Wound Retractor (Applied Medical, USA) was partially inserted into a 12 mm laparoscopic trocar (Endopath Xcel Bladeless Trocars, Ethicon, USA) to facilitate the application of wound protector, and lifted the sternum with a retractor; (D) completion view of subxiphoid single port.

Ethicon Inc., Blue Ash, OH, USA; *Figure 1C*), the wound protector was deployed into the pleural cavity. This was confirmed later by using the thoracoscope. Finally, a sternal lifter was used to lift the tunnel above the mediastinum and the pericardium to create more working space as well as avoid the interference of the heartbeat. A 10-mm, zero-degree articulating thoracoscope (EndoEye Flex; Olympus, Tokyo, Japan) was inserted into the subxiphoid incision to explore the pleural cavity for anatomic lung resection (*Figure 1D*).

Anatomic consideration and surgical technique

When the traditional 30-degree scope was inserted through the subxiphoid port into the chest cavity, it ran parallel to the mediastinum and directly faced the oblique fissure. It is even clear if we apply 3D-reconstructed CT images for better anatomic understanding; the subxiphoid incision itself is located at the horizontal level of the fifth costal

junction to the sternum, and the distance might be 2–3 cm longer compared with a transintercostal approach. With the oblique fissure in the front, it was easy to visualize the interlobar bronchovascular structure from the oblique fissure. The anterior and apical pulmonary arteries and the superior/inferior pulmonary vein (*Figure 2*) could also be easily approached anteriorly/inferiorly (*Figure 2D*); however, it was quite difficult to reach the deeply seated left-side subcarinal area. The instrument-fighting problem during the subxiphoid single-port surgery would be even more challenging than that encountered during the transthoracic approach since the mediastinum occupied the lower part of the working tunnel, which reduced the working space. Moreover, there was interference related to instrument transmission from the heartbeat during left-side procedures. Therefore, we employed the following methods to minimize these problems: (I) we released the fibrous and adipose tissue around the subxiphoid tunnel away from

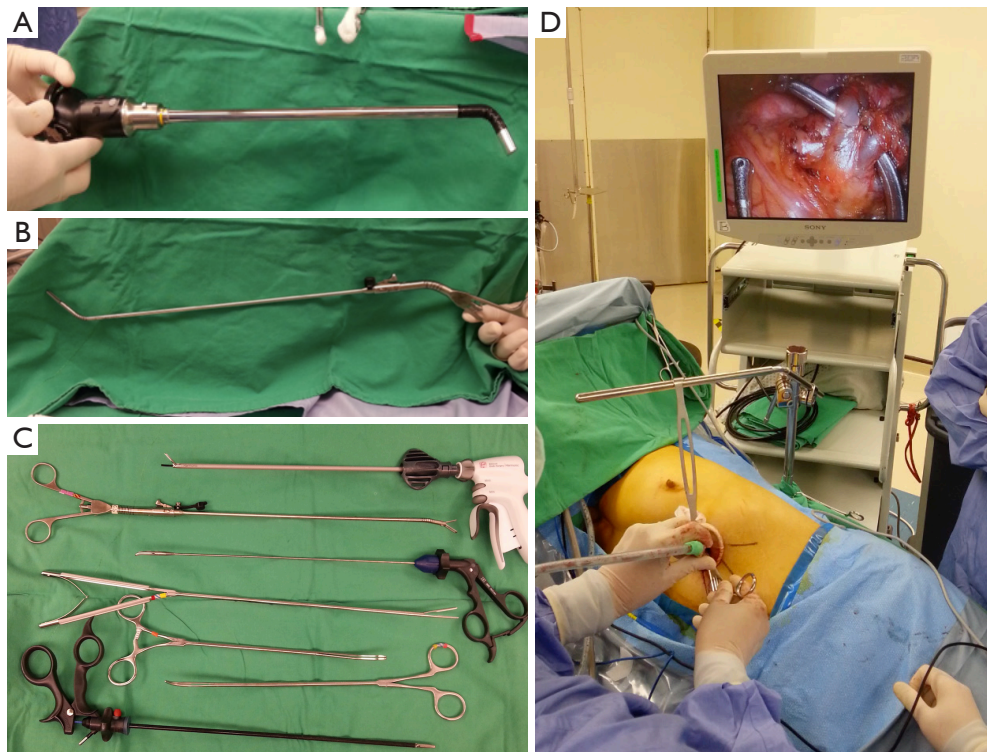


Figure 2 Modification of instruments used during subxiphoid single port lung resection. (A) An 10 mm articulating thoracoscope (EndoEye Flex, Olympus, Tokyo, Japan); (B) a novel laparoscopic instruments with adjustable and rotatable wrist (LYD RealAngle Articulating Instrument, Ningbo LYD Med Tech, China); (C) double joint thoracoscopic instruments (Scanlan international, USA), Harmonic scalpel (Ethicon, USA) and other laparoscopic instruments; (D) dissection of left upper superior pulmonary vein during trisegmentectomy via subxiphoid single port approach.

the pericardium and diaphragm. This enlarged the space of the port and provided more room for manipulation; (II) we applied a sternal retractor to lift the port away from the mediastinum, including the pericardium; (III) we used a small tidal volume whenever possible to let the mediastinum drop into the dependent non-operative side; (IV) we used long and curved or articulated instruments (*Figure 2A-C*) to avoid contact with the heart and to minimize both internal and external instrument fighting; (V) we used an articulate scope to obtain a better view from above, which was similar to the view with the traditional 30-degree lens in the transthoracic approach, and also minimized the instrument fighting.

Technically, the subxiphoid approach for anatomic lung resection follows the same principle as the transthoracic approach (*Figure 3A*), i.e., it uses a combination of traditional laparoscopic instruments or double-joint thoracoscopic instruments (Scanlan International, Inc.,

USA) for exposure and dissection, Endostapler (Ethicon Inc.), or Endo GIA Curved Tip Reload (Covidien and Medtronic, USA) for transecting the bronchovascular structure (*Figure 3B*) and lung parenchyma (20,21). Traditional open chest instruments are no longer used. However, stapling of vessels might be more challenge than transthoracic procedures related to instrument fighting and different angles needed to apply to the envil to pass through the hilar vessel safely.

For LN dissection, the intrapulmonary, hilar, and/or mediastinal LNs were retrieved using a combination of laparoendoscopic instruments and Harmonic scalpel (Ethicon Inc.) and the representative hilar/segmental LNs were checked intraoperatively by frozen to exclude the possibility of nodal metastasis. Complete lymphadenectomy in en-bloc style for the deeply seated right-side upper mediastinum and the left-side subcarinal nodes was sometimes difficult not only because most of the energy-

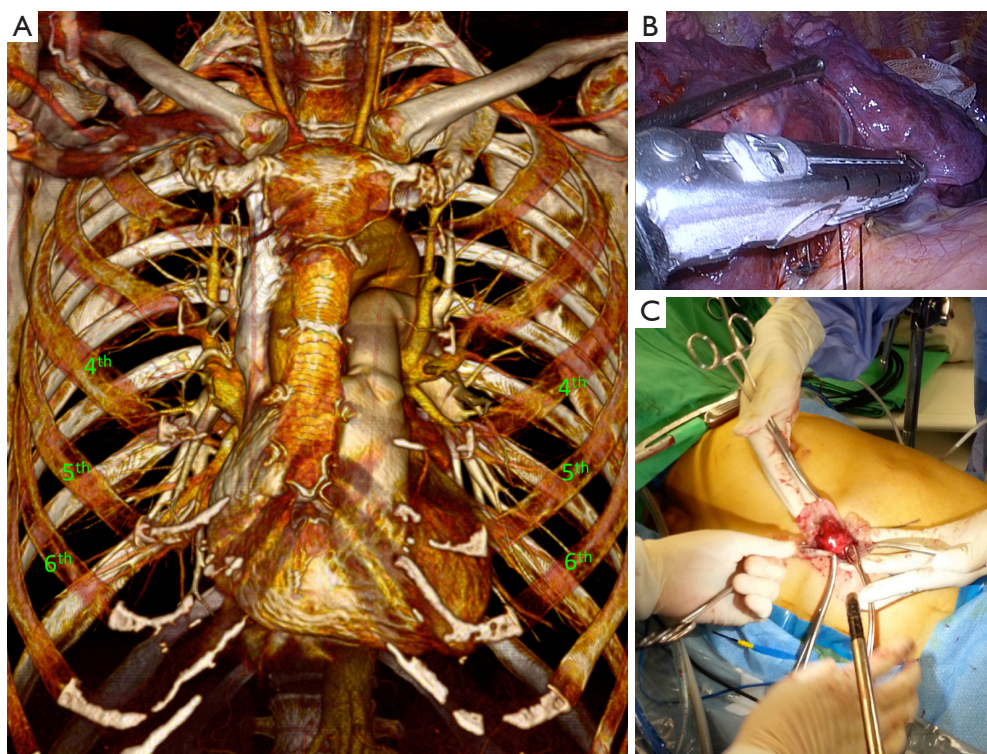


Figure 3 3D reconstructed CT images and intra-operative photos during subxiphoid approach. (A) 3D-CT reconstruction image from computer tomography scan showing the anatomic relationship between hilar structures to the subxiphoid area; (B) endostapler inserted through subxiphoid incision for transection of the right middle lobe (RML) vein; (C) specimen retrieval through the subxiphoid incision is easier than through the narrow intercostal space. CT, computed tomography.

delivering instruments, such as hook and Harmonic scalpel, are straight and parallel to the mediastinum, but also due to the potential bleeding risks if injured the nearby great vessels. In some patients, right subcarinal lymphadenectomy is possible, but only when performed by experienced surgeons. Therefore, it is extremely important to carefully select patients suitable for this approach, which is probably reserved for nodal-negative patients after preoperative staging workup. The present study used both systematic LN sampling according to ACOSOG Z0030 protocol (24) and/or lobe-specific dissection method (25-27) based on the lobar location of the lesion. For patients with lung cancers having pure, ground-glass opacity (GGO), and only regional LN sampling was performed.

The specimen was secured in a plastic bag and delivered through the subxiphoid incision (Figure 3C). Specimen retrieval from the subxiphoid port was much easier than that through the transthoracic port without the limitation of the rib cage, thus indicating an advantage of the subxiphoid approach over the transthoracic approach. A chest tube was

placed in the pleural cavity through the same subxiphoid wound (28,29). No epidural pain control or intercostal blockade was used in this study.

Results

From December 2013 to January 2016, 39 patients underwent 40 chest surgeries via a single incision 3- of 4-cm in length on the subxiphoid area (Table 1). In one patient, the surgery had to be repeated because of single pulmonary metastasis 1 year and 10 months after the previous bilateral pulmonary metastasectomies. There were 29 females and 10 males, with a median age of 55 years (range, 33–69 years). Indication for surgery included 24 patients with primary lung cancers, eight with lung metastases, two with benign lung lesions, one with bilateral pneumothorax, and five with mediastinal tumors. Operative procedures included lobectomy in 21, segmentectomy in five, wedge resection in nine, and mediastinal surgery in five patients. There were two patients requiring an additional 3-cm

Table 1 Indications and procedures for 39 patients underwent 40 chest operations via subxiphoid single port VATS approach

Location	Indication	Surgical type				Total
		Wedge	Segmentectomy	Lobectomy	Mediastinal surgery	
RUL	Lung cancer	2	0	6	0	8
	Benign lung	0	0	1	0	1
RML	Lung cancer	0	0	2	0	2
	Lung mets	1	0	2	0	3
	Benign lung	0	0	1	0	1
RLL	Lung cancer	0	1	2	0	3
	Lung mets	2	0	0	0	2
LUL	Lung cancer	0	3	4	0	7
	Lung mets	0	1	0	0	1
LLL	Lung cancer	1	0	3	0	4
RLL + LLL	Lung mets	1	0	0	0	1
RUL + LUL	Pneumothorax	1	0	0	0	1
RLL + LUL + LLL	Lung mets	1	0	0	0	1
Mediastinum	Mediastinum	0	0	0	5	5
Total		9	5	21	5	40

Mediastinal surgery, resection of thymic tumor and bronchogenic cyst. LUL, left upper lobe; LLL, left lower lobe; RUL, right upper lobe; RML, right middle lobe; RLL, right lower lobe; lung mets, lung metastasis; VATS, video-assisted thoracic surgery.

single chest incision to complete the procedure due to inadequate length of instruments and anthracotic LNs for anatomic lung resection. Bilateral surgery was adopted for four patients (three bilateral multiple wedge resections and another one patient underwent right-side upper mediastinal LN sampling to rule out N3 metastasis, followed by a left lower lobectomy and LN sampling). For 24 patients with primary lung cancers, the median tumor size was 2.0 cm (range, 0.8–5.5 cm), the median operation time was 3 hours (range, 1.0–4.5 hours), the median blood loss was 30 cc (range, 10–150 cc), and the median LN dissection number was 16.0 only (range, 4–37), which was inferior to our historical control group in the transthoracic procedure (20–22). Furthermore, fragmentation of dissected LNs was observed more frequently in the subxiphoid group. Tumor stages based on AJCC 7th were stage I in 18, stage IIa in 4, stage IIIa in 1, and stage M1a (two tiny pleural seedlings) in 1. For five patients with mediastinal surgery, radical thymothymectomy was performed in one, resection of diaphragmatic bronchogenic cyst in one, and three patients received thymomectomy, with one of them undergoing partial pericardial resection and mesh-repair through the single subxiphoid incision. Among these 39 patients, 26 underwent anatomic lung resections [seven right

upper lobe (RUL)/five right middle lobe (RML)/two right lower lobe (RLL)/four LUL/three left lower lobe (LLL) lobectomies, two tri-segmentectomies, two S3-segmentectomies, and one common basal segmentectomy], with a median operation time of 3 h (range, 2.0–4.5 h), median blood loss of 30 cc (range, 10–170 cc), and a median hospital stay of 5 days (range, 4–10 days).

No surgical mortality was observed in the entire series. Complications (4/40, 10.0%) included transient arrhythmia in the early learning curve period in two patients. Postoperative chylothorax was observed in one patient, which was managed with thoracic duct ligation. The procedure for this particular patient was right-side lymphadenectomy (station 4R) and wedge resection under the indication for second primary GGO lung cancer 2.5 years after the previous surgery for left upper lung cancer. One patient developed postoperative bleeding on postoperative day 2 because of delayed bleeding from a small vessel in the pericardial fat. This patient was reoperated using the transthoracic single-port approach to check the bleeding. After this painful experience, we innovated the current method for the safe creation of a subxiphoid port under direct vision. None of the patients experienced herniation in the subxiphoid area, nor phrenic nerve palsy, in this study.

Discussion

Based on our previous experience with the transthoracic single-port approach (20-22) and review of current animal and human studies (15-19), we employed the subxiphoid single-port approach for anatomic lung resection and learned a lot from this novel procedure. Although both the transthoracic and subxiphoid single-port techniques focus on hilar dissection, port design, instrument setting, and limitations of both the techniques vary considerably. We encountered some difficulties while using the subxiphoid single-port approach. First, creation of a safe subxiphoid single port is crucial. In contrast to the use of a laparoscopic trocar or SILS™ Port (Covidien) in other studies (13,14), we used a wound protector for creating the subxiphoid incision, which was easier and similar to that created in the transthoracic approach. Second, the visual field is restricted by the standard 30-degree thoracoscope inserted through the subxiphoid single incision because the visual field is parallel to the mediastinum and is disrupted by the instruments. An articulating endoscope (*Figure 2A*) is more useful in regaining the visual field similar to that in the traditional transthoracic approach and provides more space for other surgical instruments. Third, instrumental transmission of the heartbeat is troublesome, especially during dissection or stapling of the hilar structures while performing procedures on the left side. Moreover, instruments compressing the heart might induce arrhythmia and even transient hypotension. We used a sternal lifter and applied low tidal volume setting on the ventilator to overcome this problem. Fourth, traditional endoscopic instruments might be too short and interference between the instruments might be problematic during surgery, especially in tall patients, as experienced in this study. Therefore, long and articulating endoscopic instruments might be helpful. Fifth, structures in the posterior aspect of the chest, e.g., the subcarinal area, are difficult to approach with a patient in the supine position without the availability of proper instruments for retraction. Thus, proper positioning of the patient is important. In our experience, the supine position was suitable for bilateral pulmonary metastasectomy of tumors located in the anterior chest. The true decubitus position was suitable for anatomic lung resection with or without mediastinal LN dissection. Finally, mastering this technique requires a learning curve. This technique is easier to perform for surgeons having a previous experience with single-port transthoracic techniques.

We considered other approaches such as subcostal approach; however, this approach still requires cutting

the external oblique muscle. Moreover, intercostal nerve branches attached to the subcostal area still remain, which may induce neuralgia and pain. Furthermore, the distance to the target hilar structure is farther than subxiphoid. With respect to patient recovery after surgery, subjective wound pain after surgery was considerably reduced after the subxiphoid approach compared with that after the transthoracic approach. Mediastinum pain was minor and was easily controlled with a short-term use of painkillers. No limitations were observed in shoulder movements immediately after the surgery, and 90% (36/40) of the patients did not require any painkillers at their first return to the clinic compared with the vast majority who still asked for painkiller refill in the transthoracic group.

This study suggests that anatomic resection of every lobe and traditional segmentectomy, including trisegmentectomy and basilar segmentectomy, could be performed using the subxiphoid single-incision approach, and modification of the technique and instrument settings is crucial to the success of this approach. Radicalism for mediastinal LN dissection for lung cancer was inferior to transthoracic procedures in our historical control group (20-22).

The main limitation of our study is the limited number of patients. We explained in detail the benefits and potential risks of the subxiphoid single-incision approach to each patient included in the study and offered single-incision transthoracic thoracoscopic surgery at the same time. About half of the patients hesitated and declined to undergo the subxiphoid approach because they felt that it is still new and may be associated with inferior lymphadenectomy results. Another consideration was for segmentectomy, which is much easier through the transthoracic approach than through the subxiphoid approach. We think that subxiphoid segmentectomy is technically more challenging than transthoracic procedures, particularly when handling atypical segmentectomy. During the study period, most patients were recommended to undergo the transthoracic sublobar resection if lobectomy via the subxiphoid approach could be avoided. At present, we intend to enroll patients with cT2N0M0 or centrally located cT1N0M0 cancers for subxiphoid lobectomy; subxiphoid segmentectomy was reserved for traditional segmentectomy, as lingular or trisegmentectomy, superior segmentectomy of the lower lobe.

Conclusions

In conclusion, we observed that anatomic lung resection

through lobectomy or segmentectomy is feasible through the subxiphoid single-incision approach. This approach is associated with advantages of decreased postoperative pain, better cosmetic outcome, and easy specimen retrieval as compared with the traditional transthoracic approach. However, this novel approach has limitations for mediastinal LN dissection and handling unexpected intraoperative complications such as major bleeding or anthracotic LNs. The future looks promising with the possibility of designing novel single-port or robotic single-port instruments for this approach. However, large-scale studies are needed to document the application and to compare clinical outcomes associated with the subxiphoid single-incision approach with those associated with the transthoracic approach to provide evidence of the benefits of the former approach.

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None.

Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The study was approved by the institutional ethical committee in Sun Yat-Sen Cancer Center Hospital and written informed consent was obtained from all patients.

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